

Ethics Promotion in the Civil Service

Office of the Civil Service Commission

Thailand

27th May 2011







- Overview : Thai Civil Service
- Agencies Responsible for Promoting Ethics, Preventing and Suppressing Corruption
- OCSC Roles & Responsibilities
- Mechanisms to Enforce the Code of Ethics (CoE)

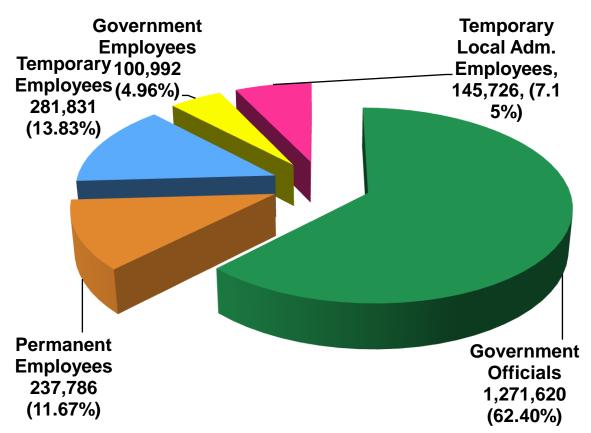




Thai Civil Service

20 Ministries

- 138 Departments
- **77** Provinces
- 7,853 Local AdministrativeOrganizations

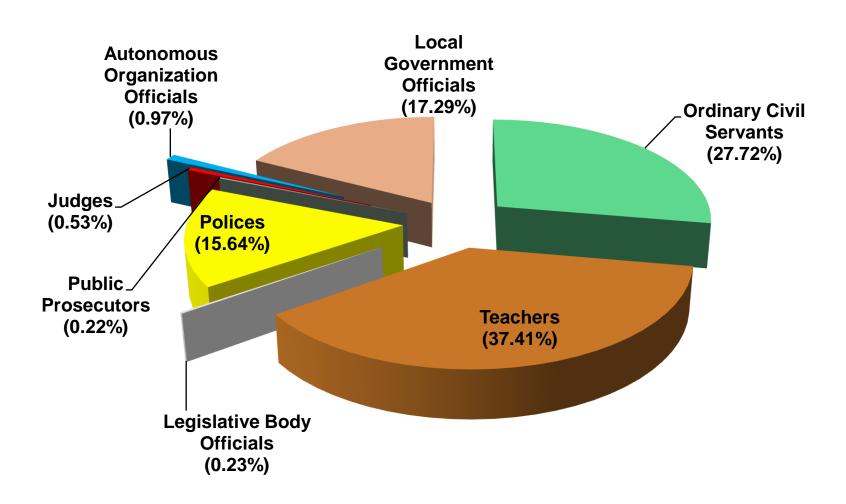


Total No. 2,037,955





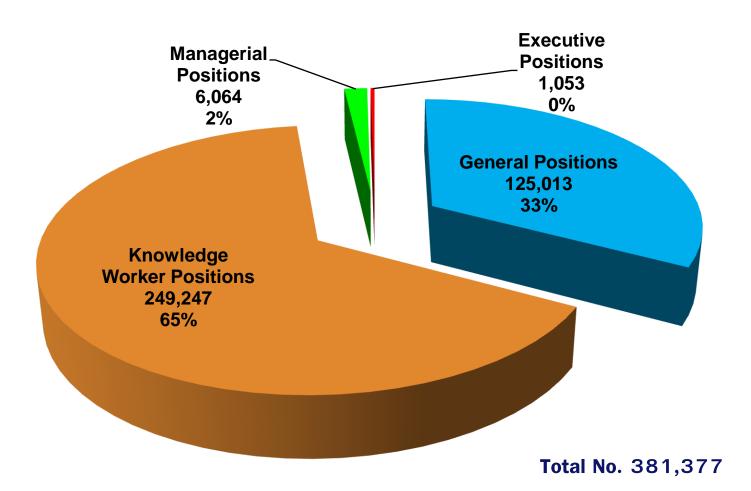
Government Officials







Ordinary Civil Servants







Responsible Authorities

- Office of the Ombudsman Thailand
- Office of the National Anti-Corruption Committee (NACC)
- Office of Public Sector Anti-Corruption Committee (PACC)
- Central Organization for Human Resource Management,
 Ministries, Departments, Provinces and local Administrations







Office of the Ombudsman Thailand

Targets

- State officials
- Government officials

Authorities and Responsibilities:

- Provide advice and support to formulate and implement code of ethics
- Promote ethical awareness
- Observe the ethics of persons holding political positions
- Report (to congress, cabinet or local council) on violations of the code of ethics of persons holding political positions





Office of the National Anti-Corruption Committee (NACC)

- State officials holding a political position
- Head of a Government agency at the level of a Department, Sub-Ministry or Ministry in respect of ordinary Government officials
- Commander of a National Force or Commander-in-chief in respect of military officials, Commander of the National Royal Thai Police Force,
- Permanent-Secretary of the Bangkok Metropolitan Administration,
- Member of the Board the top executive of a State enterprise
- Head of an independent agency under the Constitution which enjoys the status of a juristic person

Office of Public Sector Anti-Corruption Committee (PACC)

Other Government officials

Preventing Inspection of assets and Suppressing liabilities









Office of the Civil Service Commission

http://www.ocsc.go.th



OCSC Missions



1

Develop and
establish a public
human
resource
management system
to serve as the
driving force for
effective public
sector workforce

2

Build and develop competence of civil service officials to enhance their performance and service quality 3

Ensure the implementation of the merit system in civil service, and instill the working value of honesty and transparency aiming for the benefit of the nation



OCSC Roles & Responsibilities

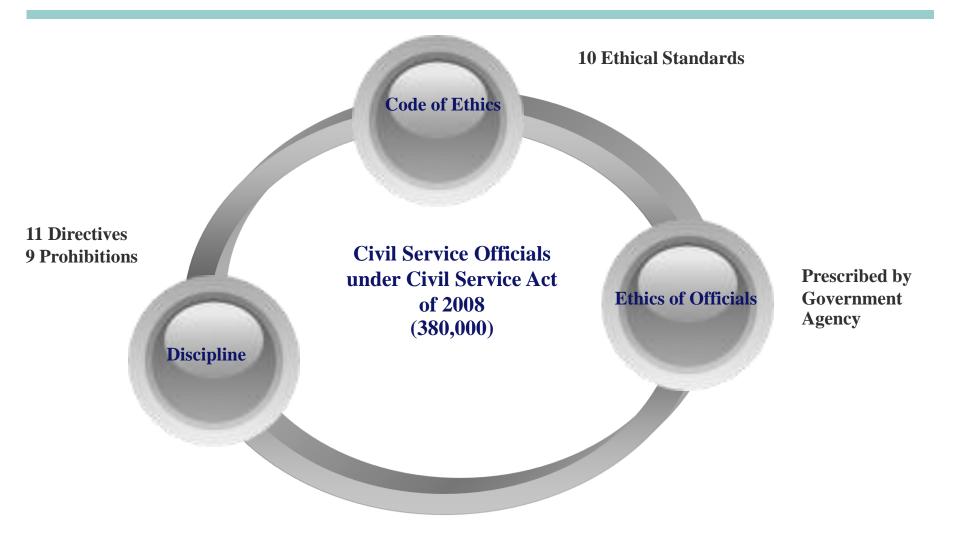


- Establishment of the Ethics Promotion and Information Center (EPIC) in 1999
- Promoting Officials to Uphold the Core Values
 - Adherence and relentless insistence in taking the correct action
 - Honesty and responsibility
 - Transparent and accountable performance of duties
 - Performance of duties without any unfair discrimination
 - Result-based determination



OCSC Roles & Responsibilities









EPIC's Missions

Clean & Transparent Civil Service

1

Government policy integration and strategic planning 2

Research & development

3

Establishment of ethics promotional networks

4

Training & development

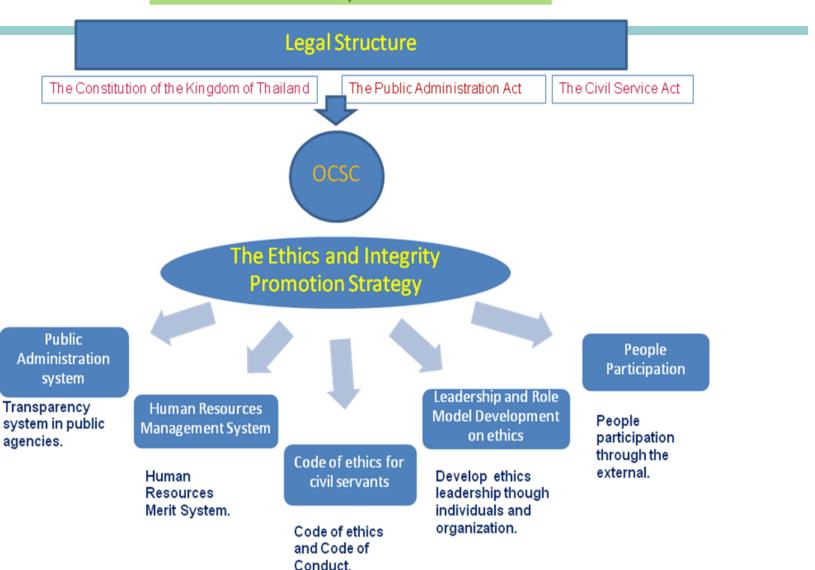
Enhancing public awareness on ethics promotion and anti-corruption

5





The OCSC Implementations







Mechanisms of promoting ethics

Civil Service Commission -CSC

Ethics Committee - EC

Head of Ethics Protection Work Group - HEPWG

Ethics Protection Work Group -EPWG





Mechanism of promoting ethics

© Civil Service Commission

- Formulate regulations for all necessary actions to enforce the Code
- Protect and ensure liberty and fairness of Ethics Committees and Ethics
 Protection Work Group
- Protect the officials who abide by the Code
- Acknowledge citizens and embed ethics in officials
- Support and honor officials and agencies who follow the Code
- Cooperate with the ombudsmen
- Report the enforcement of the Code to the cabinet and the ombudsmen
- Interpret the Code and make decision concerning any problem arising from the Code





Mechanism of promoting ethics

Ethics Committee

- Chairman (external expert nominated from head of the department)
- 2 committees selected from executives or managerial positions
- 2 committees selected from officials or employees of the department
- 2 qualified committees nominated by head and deputy of the department

Head of Ethics Protection Work Group

- Senior executives appointed by head of the department
- Act as a secretary of the Ethics Committee

Ethics protection Work Group





Mechanism of promoting ethics

Ethics Committee

- Monitor compliance with the Code.
- Protect officials who follow the Code.
- Protect and ensure freedom and fairness of the Ethics Protection Work
 Group

Ethics protection Work Group

- Embeds ethics in officials and honors those who comply with the Code
- Investigates the complaints
- Protects officials who comply with the Code





Code of Ethics

- 1. Adhere to ethics and insist in perform righteous and moral actions
- 2. Have good conscious and responsibilities to their duties, sacrifice and perform their duties in fast and transparent manners.
- 3. Separate personal matters from their positions and adhere to the national interest more than personal interest.
- 4. Refrain from seeking unethical benefits by using their authority and acting in conflict of interest.
- 5. Respect and straightforwardly abide by the Constitution and law.





Code of Ethics

- 6. Perform their duties with fairness, non-bias, and nondiscriminative actions.
- 7. Abide by the Official Information Act.
- 8. Aim for work achievement and maintain the quality and professional standards.
- 9. Adhere to the democracy system which the king is the Head of State.
- 10. Be good examples in performing and maintaining government reputation and image.





- 1. Must in good faith support the democratic form of government with the King as Head of State.
- 2. Must act in accordance with the following <u>directives</u>:
 - (1) to perform official duties faithfully, honestly and fairly;
 - (2) to perform official duties in accordance with laws, regulations, rules of official authorities, Council of Ministers resolutions, government policies and act in accordance with the regulatory framework of official authorities;





- (3) to perform official duties with a view to obtaining desirable results and in advancement of the government service with determination, diligence, attention and an awareness to preserve the interests of official authorities;
- (4) to act in compliance with orders of supervising officials made in the course of official duties in accordance with the law and regulations of official authorities, and to not disobey or avoid compliance with such orders;
- (5) to devote one's time to the government service, and not leave or neglect official duties;
- (6) to preserve official secrets;





- (7) to be courteous, preserve harmony and cooperate with other officials and colleagues in the performance of official functions;
- (8) to be hospitable, accommodating, fair and supportive to members of the public who comes into contact with respect to one's duties;
- (9) to be politically impartial in the performance of official duties and in other undertakings which involve the public, as well as to act in accordance with regulations of official authorities on political conduct of officials;
- (10) to preserve one's reputation and to preserve the dignity of one's official position from any discredit;

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Must not commit any of the following <u>prohibitions</u>:

- (1) to not make false reports to the supervising official; the concealment of facts that should be disclosed shall also be deemed as a false report;
- (2) to not perform official functions which amounts to bypassing one's superior official, except where one's superior official has ordered the act or special permission has been given on a caseby-case basis;
- (3) to not use or consent to other's use of one's official position for the exploitation of gains for oneself or others;





- (4) to not act negligently in the discharge of official duties;
- (5) to not commit acts or consent to other's commission of acts in seeking gains which may prejudice fairness or be detrimental to the honour of one's official position;
- (6) to not be a managing director or manager or hold any other position entailing a similar nature of work in a partnership or a company;
- (7) to not commit any act which amounts to an abuse, oppression or intimidation of others in the performance of official functions;





- (8) to not commit acts which amount to a sexual violation or harassment as prescribed by CSC Regulation;
- (9) to not insult, disparage, oppress or intimidate members of the public in contact with officials,





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Target Groups

Objectives

S1 – S2

CEO, CIO, CFO, CHRO, CCO
Senior Executives

Visionary Leadership and competencies for driving change in the civil service agencies

M1 - M2

Middle Managers

Team leading for successful strategic implementation

K1- K3

(New Wave Leader)

HiPPS

Strategic readiness for new generation leaders

^L K2, O2-3

First-Line

Supervisors

Supervisory Skills and Competencies

K1, O1

New Recruits

Values and philosophy of the public services





Monitoring and Evaluation

- Launch a survey concerning officials' perceptions and behaviors on the ethics issues
- **o** On-going process of evaluating enforcement of the Code





Transparency Standards

- 1. Transparency policy and role of senior executives in promoting transparency in organization
- 2. Disclosure of official information, monitoring system or internal audit system
- 3. Reduce officials' self-determination
- 4. Complaint management system





Prospects for the Future

- Support supervisors to train and monitor subordinates' behaviors
- Develop training courses to provide opportunities to learn Code of Ethics
- Monitor and evaluate the enforcement of the Code of Ethics